

Optimization of antioxidant extraction from *Persicaria barbata* leaves using response surface methodology (RSM)

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Abstract

Optimization of antioxidant capacity from *Persicaria barbata* leaf extract was conducted using response surface methodology (RSM). The conditions investigated were 90 – 150 min extraction time (x_1), 60 – 75% v/v ethanol concentration (x_2) and 30 – 50 v/w liquid-to-solid ratio (x_3) while a fixed extraction temperature at 95 °C was chosen. The quality of fit to the second-order polynomial models was confirmed based on the coefficient of determination of 0.9581. Box-Behnken experimental design indicated that models can significantly ($p < 0.05$) express more than 80% (> 0.80) of the response variation. The order of factors influencing the response value of antioxidant capacity was ethanol concentration, extraction time and liquid-to-solid ratio, respectively. The optimal extract condition for maximum antioxidant capacity was 120 min of extraction time, 60% (v/v) of ethanol concentration, 40: 1 mL/g of liquid-to-solid ratio and extraction temperature at 95 °C. The ascorbic acid equivalent antioxidant capacity (AEAC) value obtained from experiment was 93.825 ± 0.15 mg AEAC/g of dried plant.

Keyword: *Persicaria barbata*, response surface methodology, antioxidant capacity

1. INTRODUCTION

Recently, there has been an increased interest about natural fruits and vegetables consuming to improve health-promoting mechanisms in human body. In Thai traditional medicines, some fruits and vegetables have been used as sources of phytochemicals to treat various illnesses. These plants contain many components such as vitamins, minerals, carotenoids and polyphenols which could act as natural antioxidants. It is well known that antioxidants are beneficial to human health due to their abilities to reduce free radicals that can cause the damages of biomolecules¹⁻³. Numerous clinical studies have confirmed that antioxidant phytochemicals can prevent various chronic and degenerative diseases such as cardiovascular diseases, various cancers, diabetes and obesity^{4,5}. *Persicaria barbata* (L.) H. Hara var. *barbata* (Polygonaceae) is commonly known in Thai as Pakpod⁶. It is a perennial herb that grows

widely in marshy and aquatic places, the sides of the rivers in Thailand, India, Nepal, Australia, and also in many other countries in the south-east Asia⁷. Decoction of leaves and shoots is used as a stimulating wash for ulcers, acting as a good healer of the scarred tissue⁷. In our previous study has shown that the leaf extract of *P. barbata* possessed high antioxidant capabilities tested by the thiobarbituric acid reactive substances (TBARS) method and contain a high total of phenolic and total flavonoid contents determined by the Fast Blue BB and aluminum chloride methods, respectively⁸. The efficiency of the extraction of antioxidants from plant source is influenced by multiple parameters such as extraction temperature, time and the liquid-to-solid ratio, among others, and their effects may be either independent or inter-active. In order to overcome this problem, when many factors affect desired variables, response surface methodology (RSM) is an effective tool for optimizing the process⁹⁻¹¹. In RSM, mathematical and statistical

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techniques are collected for designing experiments, building models, evaluating the effects of factors and searching optimum condition of factors for desirable responses⁹. It has been successfully reported that RSM using Box-Behnken design can be used to optimize the extraction conditions from plants to obtain active compounds^{9, 12}. No such study has been carried out on the extraction of antioxidants from *P. barbata* leaves. In present study, the antioxidant capacity was considered as response value while extraction time, ethanol concentration, liquid to solid ratio and extraction temperature were considered for optimization parameters. Box-Behnken design was employed to optimize the process parameters of antioxidant extraction from the *P. barbata* leaves.

2. MATERIALS AND METHODS

2.1 Plant material and sample preparation

P. barbata leaf was collected from Wang Nam Yen district, Sa Kaeo province, Thailand, during May - July 2012 and was identified by comparing to the herbariums at the office of the Forest Herbarium, Ministry of natural resources and environment, Bangkok, Thailand. The sample was cleaned and dried in a hot air oven (Memmert, U.S.A.) at 60 °C for 6 – 8 hours. The dried sample was ground and passed through a sieve with mesh number 20.

2.2 Extraction of antioxidants

To select independent variable, the

single factors for extraction procedures were set as follows. Firstly, the effect of extraction time was investigated. The sample powder was mixed with 40 mL of 45% (v/v) ethanol and boiled at different times (30, 60, 90, 120, 150 minute) at 85 °C. Secondly, the effect of ethanol concentration was studied. The sample powder was mixed with 40 mL of different ethanol concentrations (30, 45, 60, 75, 90% ethanol, v/v) and boiled for 30 minute at 85 °C. Thirdly, the effect of liquid to solid ratio was varied. Different volume of 45% (v/v) ethanol (10, 20, 30, 40, 50 mL) was added with the sample powder and boiled for 30 minute at 85 °C. Lastly, the effect of extraction temperature was performed. 40 mL of 45% (v/v) ethanol was mixed with the sample powder and boiled for 30 minute at different temperature (75, 80, 85, 90, 95 °C). After centrifuged, the supernatant was diluted 500 times with solvent (30, 45, 60, 75, 90 %ethanol).

The extraction procedure of the experiment in a Box-Behnken design was set as follows. One gram of *P. barbata* leaf powder was mixed with different concentrations of ethanol-water (60, 75, 90 %ethanol) and different liquid to solid ratio (30, 40, 50 mL), then put in water bath for refluxing at constant temperature (95 °C) as well as boiled for different time (90, 120, 150 minute) depend on each experimental design (Table 1). After centrifuged, the supernatant was diluted 200 times with solvent (60, 75, 90 % ethanol)

Table 1. Coded and actual levels of three variables

Variables	Coded levels of variables		
	-1	0	1
Extraction time (x_1)	90	120	150
Ethanol concentration (x_2)	60	75	90
Liquid to solid ratio (x_3)	30	40	50

Each experiment was carried out in triplicate. All of the extract solutions were centrifuged at 4,500 rpm for 15 min. The supernatant of each experiment was collected

for evaluation of the antioxidant capacity using DPPH radical scavenging method. One gram of *P. barbata* leaf powder was weighed to use in each experiment.

2.3 Determination of antioxidant capacity using DPPH radical scavenging method

The method was slightly modified from Thomas J Heraldet al¹³. The ascorbic acid standards at the concentration of 2 – 14 µg/mL were prepared in distilled water and use for the calibration curve of ascorbic acid (Sigma-Aldrich, U.S.A). Five milliliters of either working standard or sample solutions was mixed with 5-mL of 208 µM DPPH (Sigma-Aldrich, U.S.A) in methanol (Burdick & Jackson, Korea). After kept the standard and sample in dark for 40 minutes, the absorbance was measured at 515 nm using an UV-Visible spectrophotometer (Shimadzu, Japan). Each standard and sample extract was analyzed in triplicate. The percentage of inhibition (%inhibition) was calculated as follow equation below and was related to the concentration of ascorbic acid standard curve. The result was expressed as average mg ascorbic acid equivalent antioxidant capacity per gram dried plant (mg AEAC/g of dry plant ± SD).

$$\% \text{ inhibition} = \frac{A_{\text{control}} - A_{\text{sample}}}{A_{\text{control}}} \times 100$$

Whereas A_{control} is absorbance of control (5mL methanol + 5mL DPPH) and A_{sample} is absorbance of sample (5mL sample solution + 5mL DPPH).

2.4 Experimental design

The influence of extraction factors were optimized using Response Surface Methodology (RSM). A Box-Behnken experimental design was used to investigate the effects of three independent variables, namely extraction time (min; x_1), ethanol concentration (%v/v; x_2) and liquid-to-solid ratio (v/w; x_3). Three levels of each variable were coded as -1, 0 and +1 based on the results of preliminary single factor experiments according to the following equation.

$$X = (X_1 - X_0)/\Delta X$$

When X is the code value, X_1 is the corresponding actual value, X_0 is the actual value in the center of the domain and ΔX is the increment of X_1 corresponding to a variable of 1 unit of X . The experimental design consists of 12 factorial experiments and three replicates of the central point giving in Table 2. Ascorbic acid equivalent antioxidant capacity (AEAC) was selected as the responses for the combination of the independent variables using DPPH radical scavenging method. Experiment run were randomized, to minimize the effects of unexpected variability in the observed responses.

A second-order polynomial regression model was used to express the yield as a function of independent variable as follows.

Table 2. Experimental designs using Box-Behnken and results

No	Coded levels of variables			DPPH (mg AEAC/ g dried plant)
	x_1	x_2	x_3	
1	-1	-1	0	78.29 ± 3.58
2	-1	1	0	49.61 ± 1.88
3	0	-1	1	93.3 ± 2.11
4	0	1	1	65.21 ± 7.58
5	0	-1	-1	92.79 ± 3.63
6	1	1	0	67.31 ± 3.80
7	1	0	-1	89.45 ± 15.36
8	-1	0	1	83.39 ± 13.83
9	-1	0	-1	71.11 ± 1.79
10	1	-1	0	88.59 ± 8.14
11	0	1	-1	52.49 ± 0.90
12	1	0	1	76.74 ± 2.69
13	0	0	0	79.6 ± 1.69
14	0	0	0	80.56 ± 3.63
15	0	0	0	77.5 ± 2.29

$$y = \beta_0 + \sum_{i=1}^3 \beta_i X_i + \sum_{i=1}^3 \beta_{ii} X_i^2 + \sum_{i=1}^2 \sum_{j=i+1}^3 \beta_{ij} X_i X_j + \varepsilon$$

When y represents the response variables (DPPH), β_0 is the model constant, β_i , β_{ii} , β_{ij} are the linear, quadratic and interactive coefficients, respectively, x_i , x_j is the levels of the independent variables and ε is the error.

Analysis of the Box-Behnken design data was carried out using Design Expert software (Version 7.0.0). Additional confirmation experiments were subsequently conducted to verify the validity of the statistical experimental design.

3. RESULTS AND DISCUSSION

3.1 Evaluations of single extracting factors on antioxidant capacity of *P. barbata* leaf extract

3.1.1 Effect of extraction time

The effect of extraction times on antioxidant capacity of *P. barbata* leaf extract was shown in Figure 1 (A). Extraction was carried out at different times (30 - 150 min) while other extraction parameters were kept constant (45% ethanol, 40:1 liquid-to-solid ratio and 85°C extraction temperature). When the time increased from 30 to 120 min, the AEAC significantly increased from 43.82 ± 16.72 to 100.08 ± 16.73 mg AEAC/g of dried plant. However, the AEAC no longer changed when the extracting time continuously increased.

3.1.2 Effect of ethanol concentration

The concentration of extraction solvent is a variable that influences efficiency of extraction to obtain high antioxidant capacity extract. Most of the phytochemical antioxidant compounds are phenolics and flavonoids. Ethanol is a suitable solvent for extraction of phenolics and flavonoids due to its universal extracting capacity. Generally lower ethanol concentration is appropriate for the extraction of polar flavonoid compounds and higher ethanol concentration is appropriate for the extraction of non-polar flavonoid compounds.

The effect of ethanol concentration on antioxidant capacity of *P. barbata* leaf extract was shown in Figure 1 (B). Extraction was carried out at different concentration of ethanol (30 - 90%, v/v) while other extraction parameters were kept constant (40:1 liquid-to-solid ratio, 85°C extraction temperature and 30 min extraction time). When the concentration of ethanol increased from 30 to 45%, the AEAC increased from 70.72 ± 5.17 to 77.41 ± 35.02 mg AEAC/g of dried plant. Whereas, the AEAC of the 60% of ethanolic extract decreased to 54.67 ± 23.81 mg AEAC/g of dried plant. The ethanol concentration increased to 75%, the AEAC was significantly increased to 88.28 ± 7.95 mg AEAC/g of dry plant. Nevertheless, the AEAC decreased to 25.02 ± 5.93 mg AEAC/g of dried plant, as the concentration of ethanol increased to 90%.

3.1.3 Effect of liquid-to-solid ratio

The effect of liquid-to-solid ratio on the antioxidant capacity of *P. barbata* leaf extract was shown in Figure 1 (C). Extraction was carried out at different liquid-to-solid ratio (10 - 50, v/w) while other extraction parameters were kept constant (45% ethanol, 85°C extraction temperature and 30 min extraction time). The AEAC significantly increased from 38.26 ± 12.09 to 158.89 ± 83.82 mg AEAC/g of dried plant as the liquid-to-solid ratio with in the range of 10 - 40 (v/w). Whereas the liquid-to-solid ratio increased to 50 (v/w), the AEAC decreased to 90.16 ± 19.79 mg AEAC/g of dried plant. It may be due to the increase of driving force to improve the mass transfer of antioxidant compound.

3.1.4 Effect of extraction temperature

Extraction temperature is a variable that can promote extraction of antioxidant compound by enhancing the diffusion coefficient and solubility of antioxidant compounds in plants.

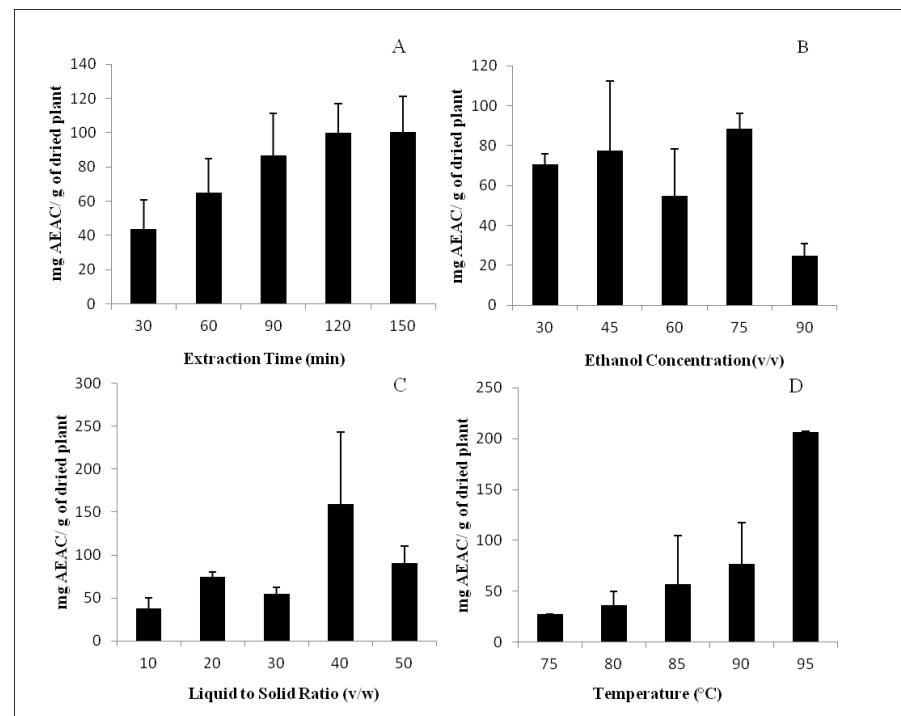


Figure 1. The effects of extraction factors on antioxidant capacity using DPPH method expressed as mg AEAC/ g of dried plant; (A) extraction time, min; (B) ethanol concentration, v/v; (C) liquid to solid ratio, v/w; (D) extraction temperature, C°

The effect of extraction temperature on antioxidant capacity of *P. barbata* leaf extract is shown in Figure 1 (D). Extraction was carried out at different extraction temperatures (75 - 95 °C) while other extraction parameters were kept constant (45% ethanol, 40:1 liquid-to-solid ratio and 30 min extraction time). The AEAC significantly increased from 27.57 ± 0.42 to 206.13 ± 1.31 mg AEAC/ g of dried plant as the extraction temperature increased from 75 to 95 °C.

From the results of single factor, Extraction time (x_1 ; 90, 120, 150 min), ethanol concentration (x_2 ; 60, 75, 90%) and liquid-to-solid ratio (x_3 ; 30, 40, 50 v/w) were determined as the independent variables and a fixed extraction temperature (95 °C) was selected.

3.2 Optimization of *P. barbata* leaf extraction method

The extraction of *P. barbata* on antioxidant capacity using DPPH radical scavenging method was further optimized through

the RSM approach (Box-Behnken design). The coded and actual levels of the three variables in Table 1 were chosen to maximize the AEAC value. From the results of single factor, the maximum AEAC of each factor was defined as the center of domain (χ_0). The actual level of -1, 0 and 1 were calculated as described in section 2.4. Table 2 presented the treatments with coded levels of variables and experimental results of AEAC in *P. barbata* leaves. Fifteen experiments were designated, which 1 – 12 were factorial experiments and 13 – 15 were zero-point tests performed to estimate the errors. The treatments with coded levels and ranged from 49.61 ± 1.88 to 92.79 ± 3.63 mg AEAC/g dried plant. The highest of AEAC value (92.79 ± 3.63) was obtained under experiment condition of $x_1 = 120$ min, $x_2 = 60$ % and $x_3 = 30$ v/w.

3.2.1 Establishment of quadratic regression equation

By applying multiple regression analysis on the experimental data, the response variable

(AEAC) and the test variable are related by the following polynomial equation (in term of coded factors):

$$y = 79.22 + 4.96\chi_1 - 14.79\chi_2 + 1.6\chi_3 + 1.85\chi_1\chi_2 - 6.25\chi_1\chi_3 + 3.05\chi_2\chi_3 - 2.02\chi_1^2 - 6.25\chi_2^2 + 2.97\chi_3^2$$

The analysis of variance (ANOVA) for the regression equation by Design Expert Software 7.0 was presented in Table 3. The quality of fit to the second-order polynomial models was confirmed based on the coefficient of determination ($= 0.9581$). The result indicated that models can significantly ($p < 0.05$) express

more than 80% (> 0.80) of the response variation. The lack of fit ($p > 0.05$) was not significant suggesting that the model was suitable to represent the actual situation, reflecting the relationship between the antioxidant capacity and extraction parameters. In addition, the obtained regression equation can predict well the extraction condition for high antioxidant capacity. The terms of χ_1 ($p = 0.0275$), χ_1 ($p = 0.0003$), $\chi_1\chi_3$ ($p = 0.0408$), χ_2^2 ($p = 0.0464$) were significant indicating that the relationship between response variable (antioxidant capacity; AEAC) and the test variable was not linear (Table 3).

Table 3. Analysis of variance (ANOVA) for the regression equation

SD	SS	DF	MS	F value	Prob > F	S
Model	2375.73	9	263.97	12.70	0.0060	*
χ_1	196.91	1	196.91	9.47	0.0275	*
χ_2	1750.84	1	1750.84	84.23	0.0003	**
χ_3	20.48	1	20.48	0.99	0.3665	
$\chi_1\chi_2$	13.69	1	13.69	0.66	0.4539	
$\chi_1\chi_3$	156.13	1	156.13	7.51	0.0408	*
$\chi_2\chi_3$	37.27	1	37.27	1.80	0.2382	
χ_1^2	15.10	1	15.10	0.73	0.4329	
χ_2^2	144.12	1	144.12	6.93	0.0464	*
χ_3^2	32.68	1	32.68	1.57	0.2653	
Residual	103.93	5	20.79			
Lack of Fit	99.03	3	33.01	13.48	0.07	not significant
Pure Error	4.90	2	2.45			
Cor Total	2479.664	14				

SD: source of deviation; SS: sum of squares; DF: degree of freedom; MS: mean square; S: significant; * $p = 0.05$, ** $p = 0.01$

3.2.2 The analysis of RSM

Three-dimensional response surface plots and two-dimensional contour plots are presented in Figure 2 A-C. These types of plots reflected the effects of two factors on the response value at a temperature. The other factors were not showed in each figure as level zero (40:1 Liquid-to-solid ratio, 75% ethanol concentration, 120 min extraction time). In Figure 2 (A), the AEAC

increased when extraction time (χ_1) increased. However, the AEAC decreased when ethanol concentration (χ_2) increased. The effects of ethanol concentration had a more significant effect on the AEAC than extraction time in the selected range. The AEAC increased when extraction time (χ_1) and liquid-to-solid ratio (χ_3) increased (Figure 2 (B)). Figure 2 (C) showed that an increase of liquid-to-solid ratio (χ_3)

resulted in an initial increase of AEAC and decreased when liquid-to-solid ratio continuously increased. While, the AEAC increased when ethanol concentration (χ_2) decreased. The result suggested that the order of factors influencing the response value of antioxidant capacity

was as follow: ethanol concentration (χ_2) > extraction time (χ_1) > liquid-to-solid ratio (χ_3). The maximum response value was obtained inside the experimental region showing in response surface plots and contour plots as the red area.

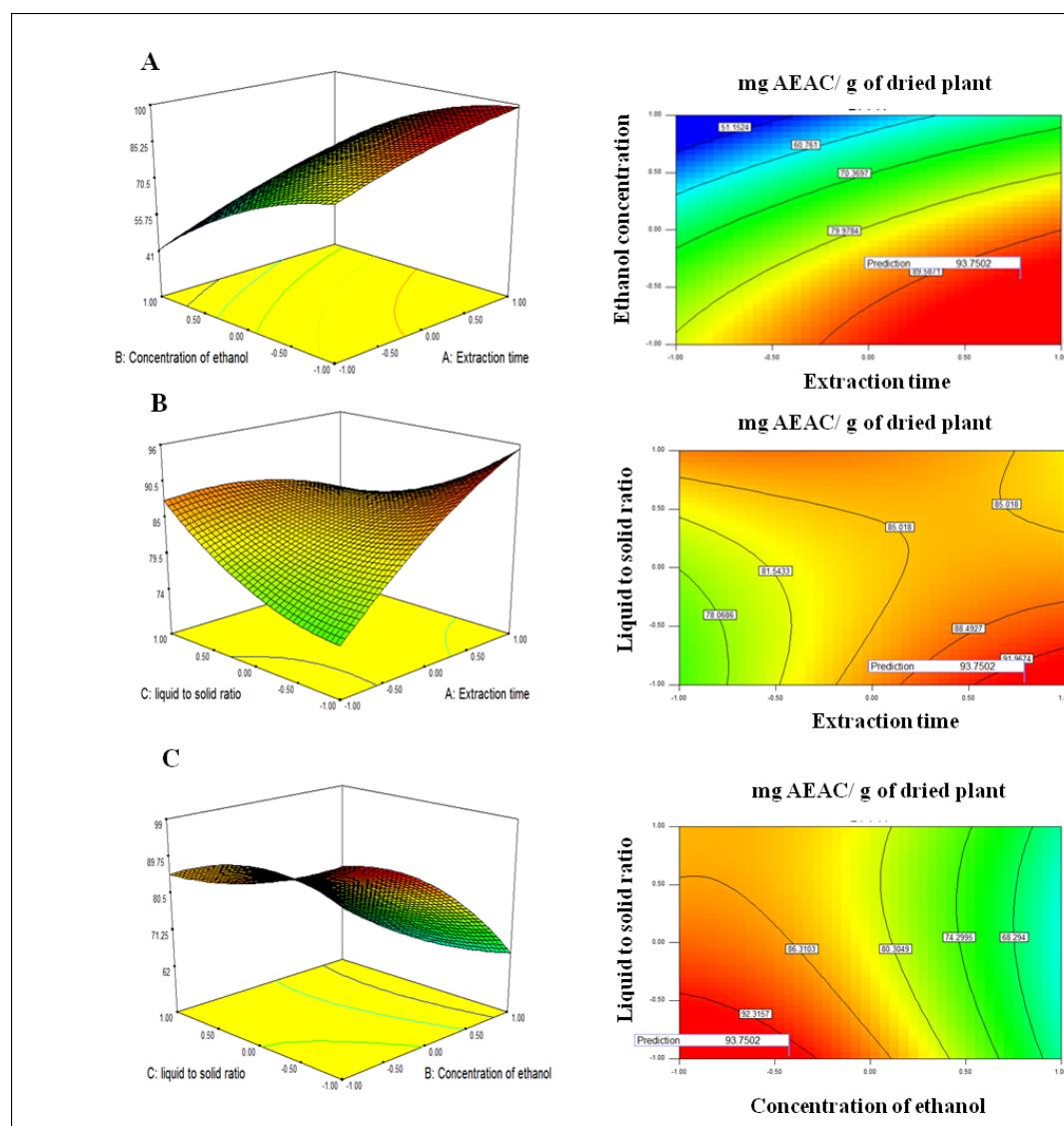


Figure 2. Three-dimensional and two-dimensional contour plots of antioxidant capacity (A) Response plot of extraction time (χ_1) vs. concentration of ethanol (χ_2); (B) Response plot of extraction time (χ_1) vs. liquid-to-solid ratio (χ_3); (C) Response plot of concentration of ethanol (χ_2) vs. liquid-to-solid ratio (χ_3)

3.2.3 Verification of predicted value of the models

The optimal value of the selected factors obtained by Design Expert software were

extraction time = 101.38 (min), ethanol concentration = 60.18% (v/v), liquid-to-solid ratio = 47.27 (mL/g) with the predicted value

of AEAC as 93.75 mg AEAC/g of dried plant. To verify the obtained model, the experiment was carried out under the appropriately adjusted condition as following, 120 min of extraction time, 60% (v/v) of ethanol concentration, 40:1 mL/g of liquid-to-solid ratio and extraction temperature at 95 °C. The AEAC value obtained from experiment (93.825 ± 0.15 mg AEAC/g of dried plant) was closed to the predicted value from optimized condition.

4. CONCLUSION

The response surface methodology (RSM) was used to optimize the extraction of antioxidant capacity from *P. barbata* leaf using Box-Behnken experimental design. Ethanol concentration was the most an influence factor of extraction, while the temperature was limited at 95 °C. The optimal condition was an extraction by 60% (v/v) ethanol, liquid-to-solid ratio of 40 mL/g and extraction temperature at 95 °C for 120 min.

5. ACKNOWLEDGEMENTS

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